

IN THIS POLICY, THE INVESTMENT RISK IN INVESTMENT PORTFOLIO IS BORNE BY THE POLICYHOLDER

Tata AIA Life Insurance Wealth Pro (UIN 110L11V01) Tata AIA Life Insurance Fortune Maxima (UIN 110L113V01)
Tata AIA Life Insurance Fortune Pro (UIN 110L112V01) Tata AIA Life Insurance Wealth Maxima (UIN 110L114V01)

REQUEST FOR CHANGE FORM

Policy Number: U

Name of Insured: _____ PAN Number (Policyholder)

Name of Policyholder: _____ Attach PAN Card copy if Top up Premium is greater than or equal to ₹50,000

Correspondence Address: _____ Landline /Mobile No _____

Email Address: _____

- > Landline/Mobile number is mandatory.
- > Please provide your Email Address for future communication.

PART A - APPLICATION FOR PREMIUM RE-DIRECTION AND TOP-UP PREMIUM:-

PREMIUM RE-DIRECTION & TOP-UP PREMIUM:

NAME OF FUND	PREMIUM RE-DIRECTION REGULAR PREMIUM [Please refer to Note 3 (i) to (iv)] For due date <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> DD/MM/YYYY	SINGLE TOP-UP PREMIUM	SINGLE TOP-UP PREMIUM DETAILS (Regular / Limited Pay) : [Please refer to Note 4 (i) to (viii)] Top-up Premium Amount: ` _____	
	Sum Assured on Top-up Premium			
			Product	Insured Age at Issuance & Top-up premium multiple
Large Cap Equity Fund (TLC) (ULIF 017 07/01/08 TLC 110)			Tata AIA Life Insurance Wealth Pro	<45
Whole Life Mid Cap Equity Fund (WLE) (ULIF 009 04/01/07 WLE 110)			Tata AIA Life Insurance Fortune Pro	>=45
Whole Life Aggressive Growth Fund (WLA) (ULIF 010 04/01/07 WLA 110)			Tata AIA Life Insurance Fortune Maxima	
Whole Life Stable Growth Fund (WLS) (ULIF 011 04/01/07 WLS 110)			Tata AIA Life Insurance Wealth Maxima	1.25 times
Whole Life Income Fund (WLI) (ULIF 012 04/01/07 WLI 110)				1.10 times
Whole Life Short Term Fixed Income Fund (WLF) (ULIF 013 04/01/07 WLF 110)			Premium Multiple: _____	
TOTAL	100%	100%	Top-up Sum Assured : ` _____	

NOTE: Please tick on the required options.

1. Please specify percentages in whole numbers. The total fund allocation for each option must add up to 100%.
2. **Premium Re-direction :**
 - i. Premium Re-direction is applicable only for Regular Premium Policy. It redirects the future premiums into the desired fund(s) but does not switch the existing units.
 - ii. For Regular Premium Policy, change in premium re-direction will be effected only on the next premium due date. There is no premium redirection charge.
 - iii. Premium Re-direction is not available if Enhanced SYSTEMATIC MONEY ALLOCATION & REGULAR TRANSFER is exercised.
 - iv. Premium redirection shall apply on all subsequent premiums.
3. **Single Top-up Premium :**
 - i. In case Single Top-up is applied for along with the Application form, the Top-up will get the applicable NAV after the policy is issued and the Top-up premium cheque is received (for local cheques) / realised (for outstation cheques).
 - ii. Single Premium Top-up is allowed any time during the policy term except during the last five years, provided the policy is in force.
 - iii. The minimum Single Top-up Premium allowed is ` 5,000/- and will be allowed a maximum 4 times a year.
 - iv. Every Top-up Premium will have a lock in period of five years from the date of approval of that top up Premium.
 - v. For all Single Top-up Premiums, Policyholder will have to take additional sum assured equal to Premium Multiple times Single Top-Up Premium, subject to underwriting.
 - vi. Single Top-up will be effected by buying units in the desired fund at the applicable NAV of that fund.
 - vii. Single Top-up Premium may be allocated in any proportion as required (i.e. it may be different from the allocation of the Regular/Single Premium).
 - viii. As per the IRDA regulations the Top up request should be accompanied by Photo Identity & Address Proof (utility bills within 6 months). Where the total Annual premium amount (including Top up amount) is equal to or greater than ` 1,00,000/- then the request in addition to the above should also be accompanied by appropriate Income Proof. These documents would be collected only if they are not collected earlier during the year.
 - ix. All applicable taxes, duties, surcharge, cesses or levies, as may be imposed by Government, any statutory or administrative authority from time to time, on the premiums payable and benefits secured under Policy, shall be borne and paid by the Policyholder.
 - x. Income Tax benefits would be available as per the prevailing income tax laws, subject to fulfillment of conditions stipulated therein. Tata AIA Life Insurance Company Ltd. does not assume responsibility on tax implication mentioned anywhere in this document. Please consult your own tax consultant to know the tax benefits available to you
 - xi. In the case of instances where, upon payment of Top-up there is a need as per policy term or regulations, for re-underwriting of the policy, then the NAV allotted for such Top-up payments will be the date of risk acceptance, resolution of pendings, if any, or realization of funds whichever is later.

PART B - APPLICATION FOR ENHANCED SYSTEMATIC MONEY ALLOCATION & REGULAR TRANSFER (Enhanced SMART) OPTION- ONLY FOR ANNUAL PAYMENT MODE POLICIES.

Enhanced SMART OPTION: - Active / Deactivate

Choose Accumulation and Target Fund (Fund available for Investment)	Accumulation Fund (Choose any one Fund)	Target Fund (Choose any one Fund other than chosen Accumulation Fund)
Large Cap Equity Fund (TLC)		
Whole Life Mid Cap Equity Fund (WLE)		
Whole Life Income Fund (WLI)		
Whole Life Short Term Fixed Income Fund (WLF)		
Total	100%	100%

NOTE

1. In this option the Switch would be effected only from Regular/Single Premium Account at policy inception or on any policy anniversary
 2. Please submit your request 30 days in advance of your policy anniversary.
 3. Enhanced SMART option is available only to the policies with **Annual mode of payment**.
 4. The automatic fund switches in the Enhanced SMART option is available out of the 12 free switches.
 5. Enhanced SMART Option can be stopped at any point of time.
 6. Manual fund switching for funds on which Enhanced SMART option is active is not allowed. However Manual fund switching would be available on other Regular/Single Premium Funds and Top up Premium Funds, at applicable charges
 7. Enhanced SMART option will not be available when the policy moves into Discontinuance policy fund.
- In respect of premiums received on or before 3.00 pm (Indian Standard time) by the company along with a local cheque or demand draft payable at par at the place where the premium is received, the closing NAV of the day on which premium is received shall be applicable. Kindly note that in case of local cheque/demand draft is submitted through any other indirect methods, the applicable NAV is decided based on the day the intimation is received by the insurer. If the intimation is received on Saturday, Sunday, Public Holiday or after 3.00 pm (Indian Standard time), NAV of the next working day will be applicable.

CUSTOMER ACKNOWLEDGEMENT COPY

Policy no U

Premium Redirection Fund Switch Single top up Enhanced SMART

Branch Name, Date, Time Stamp

Tata AIA Life Insurance Company Ltd. (IRDA of India Regn. No. 110) (CIN - U66010MH2000PLC128403)

Registered & Corporate Office: 14th Floor, Tower A, Peninsula Business Park, Senapati Bapat Marg, Lower Parel, Mumbai 400013

For more Information, contact your advisor or call on our Helpline No 1-860-266-9966 (local charges apply) or SMS "Service" to 58888 or e-mail us at customercare@tataaia.com or visit our website www.tataaia.com

These products are underwritten by Tata AIA Life Insurance Company Ltd L&C/Misc/2017/Jun/248

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Policy no U

PART C - APPLICATION FOR FUND SWITCH:-

SECTION - I] SWITCH TO BE EFFECTED FROM:

Regular/Single Premium Account Top-up Account

SECTION - II] SWITCH DETAILS:

SWITCH FROM EXISTING FUND(S)		Provide the amount or total number of units or the percentage of units that are to be switched from the existing fund	SWITCH TO DESIRED FUND(S) [Mention the break up of units provided in B that are to be switched from the existing fund to the desired fund(s)]						
[Tick on the fund(s) from which switch is required]		(Amount or Units or %)	Large Cap Equity Fund	Whole Life Mid Cap Equity Fund	Whole Life Aggressive Growth Fund	Whole Life Stable Growth Fund	Whole Life Income Fund	Whole Life Short Term Fixed Income Fund	The row total (C+D+E+F+G+H+I) should be equal to 100%
SR. No.	(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)	(I)
1	Large Cap Equity Fund (TLC)								100%
2	Whole Life Mid Cap Equity Fund (WLE)								100%
3	Whole Life Aggressive Growth Fund (WLA)								100%
4	Whole Life Stable Growth Fund (WLS)								100%
5	Whole Life Income Fund (WLI)								100%
6	Whole Life Short Term Fixed Income Fund (WLF)								100%

NOTE:

- Please specify percentage in whole numbers.
- Please select the option(s) provided in Section I above to indicate from which Account the Switch is to be effected.
- Please do not fill any details in the shaded (black) cells.
- If all the units are to be switched from the existing fund, mention 100% in column B.
- Switch will not change the Policy's Regular Premium Allocation.
- 12 free Switches will be allowed in a policy year.
- Switch will be effected by selling the units of one fund at the applicable NAV and units will be bought in the desired fund at the applicable NAV of that fund.
- If the account from which the Switch is to be effected is not specified in Section I, the Switch will automatically be effected from both the Accounts.
- If the amount or the number of units or the percentage of units is not specified in column B, all the existing units from that fund would be switched to the desired fund(s).
- Fund Switching is not available for the Funds (Accumulation Fund and Target Fund) in which Enhanced SMART is active.

DECLARATION AND AUTHORISATION TO PART A, B & C

Tata AIA Life Insurance Company Limited is only the name of insurance company & Tata AIA Life Insurance Fortune PRO; Tata AIA Life Insurance Fortune Maxima, Tata AIA Life Insurance Wealth Maxima & Tata AIA Life Wealth PRO is only the name of the ULIP contract and does not in any way indicate the quality of the contract, its future prospects or returns. Please refer to the product brochure for detailed terms and condition before conducting a sale.

I/We understand that ULIP products are different from traditional Life Insurance products and are subject to risk factors. I/We also understand that premiums paid in ULIP are subject to investment risks associated with capital Markets & the NAV of the units may go up or down based on the performance of the fund and factors influencing capital markets & the insured are responsible for their decision(s). Investments are subject to market risk and Past performance is not indicative of future results.

I/We accept the risks associated with premium allocation / re-direction selected by me/us during the term of the policy and/or the switch of funds inter se. I/We also confirm that my/our decision is purely on the basis of my/our own merits / discretion.

I/We also agree that the Company is not responsible for / guaranteeing the resultant returns from "Premium Allocation" / "Premium Re-direction" and "Switch" based on instructions issued by me/us, and that such returns shall depend on the performance of the fund/s selected by me/us.

I/We understand that (i) the Company may be unable to process this application, if, I/We fail to provide any material information as requested upon by the Company and (ii) I/We, have the right to ensure correction of any personal information supplied by me/us to the Company.

I/We understand and agree that, the said request shall be treated as valid on acceptance by Tata AIA Life Insurance Company Ltd.

I/We further agree that receipt of said form by the agent does not constitute receipt by the Company.

I/We request that this policy be changed in accordance with the above particulars and a copy of the said request shall be attached to and form a part of the said policy.

I/We understand and agree for transactions involving debit/credit of units, the value of said units shall be determined in accordance with the provisions of the said policy on the valuation date, immediately following receipt of this request.

Signature of Insured

DD/MM/YYYY

Signature of Policyholder/Assignee/Trustee (if other than insured)

DD/MM/YYYY

PLACE: - _____

DECLARATION IN CASE THE LIFE INSURED / POLICYHOLDER IS ILLITERATE OR SIGNING IN VERNACULAR:

I _____ (name) with _____ (identify type) _____ (identity number) hereby declare that I have explained the contents of the Request for Change Form to the Life Insured / Policyholder in _____ language and that the Life Insured / Policyholder has signed / affixed his/her thumb impression on the Request for Change Form after fully understanding the contents thereof.

Signature of the Person making the Declaration: _____ Signature/ Thumb impression of Insured/Policyholder: _____ Signature of the witness _____

NOTE:

- All signatures must be in blue ink. Names should be written as they appear in our record.
- The witness has to be 21 years old and above, who is not the beneficiary of this policy.

Tata AIA Life Insurance Company Ltd. (IRDA of India Regn. No. 110) (CIN - U66010MH2000PLC128403)

Registered & Corporate Office: 14th Floor, Tower A, Peninsula Business Park, Senapati Bapat Marg, Lower Parel, Mumbai 400013

For more Information, contact your advisor or call on our Helpline No 1-860-266-9966 (local charges apply) or SMS "Service" to 58888 or e-mail us at customercare@tataaia.com or visit our website www.tataaia.com

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